

4-28-04

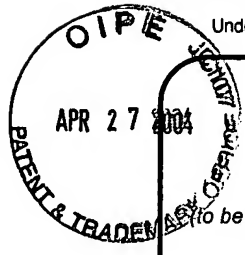
PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box → ☐

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/687,344
Filing Date	October 15, 2003
First Named Inventor	Ivan Osorio
Group Art Unit	3736
Examiner Name	Not assigned
Attorney Docket Number	011738.00149

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response copy of Notice to File Missing Part of Nonprovisional Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Executed Declaration <input type="checkbox"/> Replacement Figure 4 <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Copy of Filing Receipt with corrections shown in red <input type="checkbox"/> <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1 Reference Copy of Acknowledgement Receipt Copy of Electronic IDS Express Mail Certificate Return Receipt Postcard
Remarks		The Commissioner is hereby authorized to charge any deficiencies in payment or credit any overpayment to our Deposit Account No. 19-0733. Express Mail No. EL 995824075 US

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Kenneth F. Smolik Banner & Witcoff, Ltd.
Signature	<i>Kenneth F. Smolik</i>
Date	April 27, 2004

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



CERTIFICATE OF MAILING
(PATENT APPLICATION)

Attorney Docket No. 011738.00149
Confirmation No. 8261

Express Mail No. EL 995824075 US
Deposited: April 27, 2004

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR §1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: _____

Osorio, et al., U.S. Patent Application No. 10/687,344 for "Screening Techniques for Management of a Nervous System Disorder"

Transmitted herewith are the following documents:

- Transmittal Form (in duplicate)
- Information Disclosure Statement (2 pages)
- Form PTO/SB/08A (1 page)
- 1 Reference
- Copy of Acknowledgement Receipt (2 pages)
- Copy of Electronic Information Disclosure Statement (1 page)
- Return Postcard



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Attorney Docket No. 011738.00149)

In re U.S. Patent Application of Osorio, et al.)	
)	
Application No. 10/687,344)	Group Art Unit: 3736
)	
Filed: October 15, 2003)	Examiner: Unassigned
)	
For: SCREENING TECHNIQUES FOR)	Confirmation No. 7817
MANAGEMENT OF A NERVOUS)	
SYSTEM DISORDER)	

INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR §§1.97 and 1.98, the Applicant wishes to make the following references of record in the above-identified application. This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 CFR §1.56. Copies of the foreign references cited below are enclosed. The references are also listed on the enclosed and completed Form PTO/SB/08A.

The U.S. references were filed electronically on April 27, 2004. A copy of the Electronic Information Disclosure Statement is attached hereto.

This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the mailing date of a first Office Action on the merits. Accordingly, there is no fee due for filing this Information Disclosure Statement. However, if it is determined that a fee is due, the

Commissioner is authorized to charge or credit any fees associated with this paper to Deposit Account No. 19-0733.

Under 37 CFR §1.97(g), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made.

Under 37 CFR §1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the cited references are, or are considered to be, material to patentability as defined in 37 CFR §1.56(b).

Foreign References

EP 0911061A2, published April 28, 1999

Dated: April 27, 2004

Respectfully submitted,

By: Kenneth F. Smolik

Kenneth F. Smolik
Registration No. 44,344
BANNER & WITCOFF, LTD.
10 South Wacker Drive
Suite 3000
Chicago, Illinois 60606
Telephone: 312-463-5000
Facsimile: 312-463-5001



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

of

Complete if Known

Application Number	10/687,344
Filing Date	October 15, 2003
First Named Inventor	Osorio
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	011738.00149

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			

FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
		EP 0911 061 A2	04/28/1999			

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

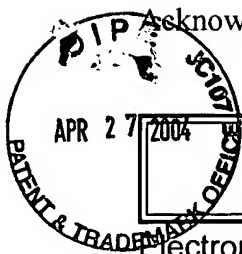
Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

UNITED STATES PATENT AND TRADEMARK OFFICE
ACKNOWLEDGEMENT RECEIPT

Electronic Version 1.1

Stylesheet Version v1.1.1

Title of
InventionSCREENING TECHNIQUES FOR MANAGEMENT OF A NERVOUS
SYSTEM DISORDER

Submission Type: Information Disclosure Statement

Application Number: 10/687344

10/687344

EFS ID: 59777

Server Response:

Confirmation Code	Message
ISVR1	Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application
ICON1	7817
ISYS5	Filename= N/A BusinessRule= Validation System/Function Call Information. #Supporting Msg:Server unable to validate the Confirmaton/Application numbers at this time. They will be checked by PTO personnel later.

First Named Applicant: Ivan Osorio

Attorney Docket Number: 011738.00149

Timestamp: 2004-04-27 10:50:02 EDT

From: us

File Listing:

Doc. Name	File Name	Size (Bytes)
us-ids	11738.00149-usidst.xml	2572
us-ids	us-ids.dtd	7763
us-ids	us-ids.xsl	12026
package-data	11738.00149-pkda.xml	1772
package-data	package-data.dtd	27025
package-data	us-package-data.xsl	19263
Total files size		70421

Message Digest: 7bfce0f60347dfce0ad516879358fc7925cd1c88

Digital Certificate Holder
Name:cn=Kenneth Smolik,ou=Registered
Attorneys,ou=Patent and Trademark
Office,ou=Department of Commerce,o=U.S.

Government,c=US

ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Title of
Invention

SCREENING TECHNIQUES FOR MANAGEMENT OF A
NERVOUS SYSTEM DISORDER

Application Number: 10/687344 *10/687344*

Confirmation Number: 7817

First Named Applicant: Ivan Osorio

Attorney Docket Number: 011738.00149

Art Unit: 3736

Examiner: Unassigned Unassigned

Search string: (5372607 or 5611350 or 6066163 or 6094598 or 6308102).pn.

Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	5372607	1994-12-13	Stone			
	2	5611350	1997-03-18	John			
	3	6066163	2000-05-23	John			
	4	6094598	2000-07-25	Elsberry			
	5	6308102	2001-10-23	Sieracki			

Signature

Examiner Name

Date